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PATENT
Docket No. GZ 2104.00

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Commissioner for Patents, Washington, D.C. 20231 on October 31, 2001.

Peggy Nichols
Name of Person signing: Peggy Nichols

In re Application for:

Charles A. NICOLETTE

Serial No.: 09/931,969

Filing Date: August 17, 2001

For: THERAPEUTIC COMPOUNDS FOR
OVARIAN CANCER

Group Art Unit: 1614

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97**

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of \$* is enclosed.

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- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; according, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1189** referencing 19442-7235, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: Oct. 31, 2001

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Form PTO-1449	Docket No. GZ 2104.00	Appl. No. 09/931,969
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Charles A. NICOLETTE	
(use several sheets if necessary)	Filing Date: 08/17/2001	Group Art Unit: 1614

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)
	1.	07/28/87	4,683,195	Mullis et al.			
	2.	07/28/87	4,683,202	Mullis			
	3.	06/28/88	4,754,065	Levenson et al.			
	4.	01/24/89	4,800,159	Mullis et al.			
	5.	08/08/95	5,440,013	Kahn			
	6.	11/17/98	5,837,249	Heber-Katz et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation YES NO
	7.	08/01/96	WO 96/23060	The Government of the United States of America			

OTHER DOCUMENTS -

(including author, title, date, pertinent pages, etc.)

Examiner Initials	Ref. No.	Title
	8. <input checked="" type="checkbox"/>	Altman, J.D. et al., "Phenotypic analysis of antigen-specific T lymphocytes" (1996) <i>Science</i> 274 (5284):94-96
	9. <input checked="" type="checkbox"/>	Bertoni, R. et al., "Human class I supertypes and CTL repertoires extend to chimpanzees" (1998) <i>J. Immunol.</i> 161 :4447-4455
	10. <input checked="" type="checkbox"/>	Boczkowski, D. et al., "Dendritic cells pulsed with RNA are potent antigen-presenting cells in vitro and in vivo" (1996) <i>J. Exp. Med.</i> 184 :465-472
	11. <input checked="" type="checkbox"/>	Bordignon, C. et al., "Retroviral vector-mediated high-efficiency expression of adenosine deaminase (ADA) in hematopoietic long-term cultures of ADA-deficient marrow cells" (1989) <i>PNAS USA</i> 86 :6748-6752
	12. <input checked="" type="checkbox"/>	Carter, B.J., "Adeno-associated virus vectors" (1992) <i>Curr. Op. Biotechnol.</i> 3 :533-539
	13. <input checked="" type="checkbox"/>	Caruso, A. et al., "Flow cytometric analysis of activation markers on stimulated T cells and their correlation with cell proliferation" (1997) <i>Cytometry</i> 27 :71-76
	14. <input checked="" type="checkbox"/>	Correll, P.H. et al., "Production of human glucocerebrosidase in mice after retroviral gene transfer into multipotential hematopoietic progenitor cells" (1989) <i>PNAS USA</i> 86 :8912-8916
	15. <input checked="" type="checkbox"/>	Coulie, P.G., "Human tumour antigens recognized by T cells: new perspectives for anti-cancer vaccines?" (1997) <i>Molec. Med. Today</i> 3 :261-268
	16. <input checked="" type="checkbox"/>	Culver, K. et al., "Lymphocytes as cellular vehicles for gene therapy in mouse and man" (1991) <i>PNAS USA</i> 88 :3155-3159
	17. <input checked="" type="checkbox"/>	Dharanipragada, R. et al., "The absolute configuration of an intermediate in the asymmetric synthesis of unusual amino acids" (1992) <i>Acta. Cryst.</i> C48 :1239-1241

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18.	X	Dharanipragada, R. et al., "Synthetic linear and cyclic glucagon antagonists" (1993) <i>Int. J. Peptide Protein Res.</i> 42(1) :68-77		
19.	X	DiMaio, J. et al., "Synthesis of chiral piperazin-2-ones as model peptidomimetics" (1989) <i>J. Chem. Soc. Perkin Trans.</i> 1(9) :1687-1689		
20.	X	Feltkamp, M.C.W. et al., "Competition inhibition of cytotoxic T-lymphocyte (CTL) lysis, a more sensitive method to identify candidate CTL epitopes than induction of antibody-detected MHC class I stabilization" (1995) <i>Immunol. Lett.</i> 47 :1-8		
21.	X	Ferguson, et al. "Cell-surface anchoring of proteins via glycosyl-phosphatidylinositol structures" (1988) <i>Ann. Rev. Biochem.</i> 57 :285-320		
22.	X	Fujihashi, K. et al., "Cytokine-specific ELISPOT assay single cell analysis of IL-2, IL-4 and IL-6 producing cells" (1993) <i>J. Immunol. Meth.</i> 160 :181-189		
23.	X	Garvey D.S. et al., "3,4-disubstituted γ -lactam rings as conformationally constrained mimics of peptide derivatives containing aspartic acid or norleucine" (1990) <i>J. Org. Chem.</i> 55(3) :936-940		
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25.	X	Hruby, V.J. et al. "Emerging approaches in the molecular design of receptor-selective peptide ligands: conformational, topographical and dynamic considerations" (1990) <i>Biochem J.</i> 268 :249-262		
26.	X	Isakov, N. et al., "ZAP-70 binding specificity to T cell receptor tyrosine-based activation motifs: The tandem SH2 domains of ZAP-70 bind distinct tyrosine-based activation motifs with varying affinity" (1995) <i>J. Exp. Med.</i> 181 :375-380		
27.	X	Jones, R.C.F. and G.J. Ward, "Amide bond isosteres: imidazolines in pseudopeptide chemistry" (1988) <i>Tetrahedron Lett.</i> 29(31) :3853-3856		
28.	X	Kahn, M. and S. Bertenshaw, "The incorporation of β -turn prosthetic units into merrifield solid phase peptide synthesis" (1989) <i>Tetrahedron Lett.</i> 30(18) :2317-2320		
29.	X	Karlsson, S. et al., "Stable gene transfer and tissue-specific expression of a human globin gene using adenoviral vectors" (1986) <i>The EMBO J.</i> 5(9) :2377-2385		
30.	X	Kawakami, Y. et al., "Cloning of the gene coding for a shared human melanoma antigen recognized by autologous T cells infiltrating into tumor" (1994) <i>PNAS USA</i> 91(9) :3515-3519		
31.	X	Kazmierski, W. M. and V.J. Hruby, "Asymmetric synthesis of topographically constrained amino acids: synthesis of the optically pure isomers of α,β -dimethyl-phenylalanine and α,β -dimethyl-1,2,3,4-tetrahydroisoquinoline-3-carboxylic acid" (1991) <i>Tetrahedron Lett.</i> 32(41) :5769-5772		
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33.	X	Kemp, D.S. and P.E. McNamara, "Conformationally restricted cyclic nonapeptides derived from L-cysteine and LL-3-amino-2-piperidone-6-carboxylic acid (LL-Acp), a potent β -turn-inducing dipeptide analogue" (1985) <i>J. Org. Chem.</i> 50 :5834-5838		
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INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		Applicant(s) Charles A. NICOLETTE	
		Filing Date: 08/17/2001	Group Art Unit: 1614
36.	Kemp, D.S. and T.P. Curran, "(2, 5S, 8S, 11S)-1-acetyl-1, 4-diaza-3-keto-5-carboxy-10-thia-tricyclo-[2.8.0 ^{4,8}]-ridecane, 1 the preferred conformation of 1 (1= α temp-OH) and its peptide conjugates α temp-L-(Ala) _n -OR (n=1 to 4) and α -temp -L-Ala-L-Phe-Lys(ϵ Boc)-L-Lys(ϵ -Boc)-NHMe studies of templates for α -helix formation" (1988) <i>Tetrahedron Lett.</i> 29(39) :4935-4938		
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38.	McGrory, W.J. et al., "Short communications: A simple technique for the rescue of early region I mutation into infectious human adenovirus type 5" (1988) <i>Virology</i> 163 :614-617		
39.	Merrifield, R.B., "New approaches to the chemical synthesis of peptides" (1967) <i>Recent Progress in Hormone Res.</i> 23 :451-482		
40.	Miyake, A. et al., "Synthesis and angiotensin converting enzyme inhibitory activity of 1,2,3,4-tetrahydroisoquinoline-3-carboxylic acid derivatives" (1984) <i>J. Takeda Res. Labs.</i> 43(3/4) :53-76		
41.	Mosier, D.E. et al., "Resistance to human immunodeficiency virus 1 infection of SCID mice reconstituted with peripheral blood leukocytes from donors vaccinated with vaccinia gp160 and recombinant gp160" (1993) <i>PNAS. USA</i> 90 :2443-2447		
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44.	Nair, S. et al., "Soluble proteins delivered to dendritic cells via pH-sensitive liposomes induce primary cytotoxic T lymphocyte responses in vitro" (1992) <i>J. Exp. Med.</i> 175 :609-612		
45.	Olson, G.L. et al., "Design and synthesis of a protein β -turn mimetic" (1990) <i>J. Am. Chem. Soc.</i> 112 :323-333		
46.	Paglia, P. et al., "Murine dendritic cells loaded in vitro with soluble protein prime cytotoxic T lymphocytes against tumor antigen in vivo" (1996) <i>J. Exp. Med.</i> 183 :317-322		
47.	Pardoll, D.M., "Cancer vaccines" (1998) <i>Nature Med.</i> 4(5 Suppl.) :525-531		
48.	Parker, et al., "Sequence motifs important for peptide binding to the human MHC class I molecule, HLA-A2" (1992) <i>J. Immunol.</i> 149(11) :3580-3587		
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51.	al-Ramadi, B.K. et al., "Lack of strict correlation of functional sensitization with the apparent affinity of MHC/peptide complexes for the TCR" (1992) <i>J. Immunol.</i> 155(2) :662-673		
52.	Rill, D.R. et al., "An approach for the analysis of relapse and marrow reconstitution after autologous marrow transplantation using retrovirus-mediated gene transfer" (1992) <i>Blood</i> 79(10) :2694-2700		
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54.	Salazar, E. et al., "Agonist peptide from a cytotoxic T-lymphocyte epitope of human carcinoembryonic antigen stimulates production of TC1-type cytokines and increases tyrosine phosphorylation more efficiently than cognate peptide" (2000) <i>Int. J. Cancer</i> 85 :829-838		
55.	Samanen, J. et al., "5,5-dimethylthiazolidine-4-carboxylic acid (DTC) as a proline analog with restricted		

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				Filing Date: 08/17/2001		Group Art Unit: 1614	
		conformation" (1990) <i>Int. J. Peptide Protein Res.</i> 35 :501-509					
56.	X	Schlesinger, S. and T.W. Dubensky, Jr., "Alphavirus vectors for gene expression and vaccines" (1999) <i>Curr Opin Biotechnol.</i> 10 (5):434-439					
57.	X	Sette, A. et al., "The relationship between class I binding affinity and immunogenicity of potential cytotoxic T cell epitopes" (1994) <i>J. Immunol.</i> 153 (12):5586-5592					
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61.	X	Tanguay, S. and J.J. Killion, "Direct comparison of ELISPOT and ELISA-based assays for detection of individual cytokine-secreting cells" (1994) <i>Lymphokine Cytokine Res.</i> 13 (4):259-263					
62.	X	Valmori, D. et al., "Induction of potent antitumor CTL responses by recombinant vaccinia encoding a melan-A peptide analogue" (2000) <i>J. Immunol.</i> 164 (2):1125-1131					
63.	X	van der Burg, S.H. et al., "Immunogenicity of peptides bound to MHC class I molecules depends on the MHC-peptide complex stability" (1996) <i>J. Immunol.</i> 156 :3308-3314					
64.	X	Ware, C.F. et al., "Recognition of HLA-A2 mutant and variant target cells by an HLA-A2 allospecific human cytotoxic T lymphocyte line" (1983) <i>J. Immunol.</i> 131 (3):1312-1317					
65.	X	Wilchek, M. and E.A. Bayer, "The avidin-biotin complex in bioanalytical applications" (1988) <i>Anal. Biochem.</i> 171 :1-32					
66.	X	Ying, H. et al., "Cancer therapy using a self-replicating RNA vaccine" (1999) <i>Nat. Med.</i> 5 (7):823-827					
67.	X	Zabrocki, J. et al., "Conformational mimicry. 1. 1,5-disubstituted tetrazole ring as a surrogate for the cis amide bond" (1988) <i>J. Am. Chem. Sci.</i> 110 :5875-5880					
68.	X	Zechel, C. et al., "Synthetic glucagon antagonists and partial agonists" (1991) <i>Int. J. Pep. Protein Res.</i> 38 (2):131-138					
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71.	X	Shin, Hiroshi et al., "Thrombin Receptor-Mediated Synovial Proliferation in Patients with Rheumatoid Arthritis" September (1995) <i>Clinical Immunology and Immunopathology</i> 76 (3):225-233					
72.	X	Henrikson, KP et al., "Role of Thrombin Receptor in Breast Cancer Invasiveness" (1999) <i>British J. of Cancer</i> 79 (3/4):401-406					
73.	X	Schmidt, Valentina A. et al., "The Human Proteinase-activated Receptor-3 (PAR-3) Gene" (1998) <i>The J. of Biological Chemistry</i> 273 (24) (Issue of June 12): 15061-15068					
74.	X	Zacharski, Leo R., M.D. et al., "Occurrence of Blood Coagulation Factors In Situ In Small Cell Carcinoma of the Lung" (1987) <i>Biology Library UCB Cancer</i> 60 :2675-2681					
75.	X	Zain, Jasmine et al., "Concentration-dependent dual effect of thrombin on impaired growth/apoptosis or mitogenesis in tumor cells" (15 May 2000) <i>Blood</i> 85 (10):3133-3138					
76.	X	Ishihara, Hiroaki et al., "Antibodies to Protease-Activated Receptor 3 Inhibit Activation of Mouse Platelets by Thrombin" (1998) <i>Blood</i> 1 (11):4152-4157					
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77. <input checked="" type="checkbox"/>	Nierodzik, Mary Lynn et al., "Protease-Activated Receptor 1 (PAR-1) Required and Rate-Limiting for Thrombin-Enhanced Experimental Pulmonary Metastasis" (1998) <i>Blood</i> 92(10) :3694-3700	
78. <input checked="" type="checkbox"/>	Kaufmann, Roland et al., "PAR 1-type thrombin receptors are involved in thrombin-induced calcium signaling in human meningioma cells (1999) <i>J. of Neuro-Oncology</i> 42 :131-136	
79. <input checked="" type="checkbox"/>	Fischer, Edgar et al., "Tissue Factor-initiated Thrombin Generation Activates the Signaling Thrombin Receptor on Malignant Melanoma Cells" (1995) <i>Cancer Research</i> 55 :1629-1632	
80. <input checked="" type="checkbox"/>	Zacharski, L.R. "Small Cell Carcinoma of the Lung: Interaction with the Blood Coagulation Mechanism and Treatment with Anticoagulants" (4/1987) <i>National Library of Medicine Onkologie</i> 10 :264-270	
81. <input checked="" type="checkbox"/>	Schulman, Sam S., M.D. et al., "Incidence of Cancer After Prophylaxis With Warfarin Against Recurrent Venous Thromboembolism" (2000) <i>The New England J. of Medicine</i> 342(26) :1953-1958	
82. <input checked="" type="checkbox"/>	Zielinski, Christoph C., M.D. et al., "Warfarin for Cancer Prevention" (2000) <i>The New England Journal of Medicine</i> 342(26) :1-3	
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85. <input checked="" type="checkbox"/>	Ishihara H. et al., "Protease-activated receptor 3 is a second thrombin receptor in humans" (1997) <i>Nature</i> 386 :502-6	
86. <input checked="" type="checkbox"/>	Wojtukiewicz, Marek Z., et al., "Thrombin Increases the Metastatic Potential" (1993) <i>Int. J. Cancer</i> 54 :793-806	

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